

# Transfer of safeguarded benefits to a defined contribution / flexible benefits scheme



**To be completed by the financial adviser when the USS transfer value (ignoring MPAVCs) is equal to or greater than £30,000**

Please complete this form using BLOCK CAPITALS

## Member details

Title \_\_\_\_\_ Surname \_\_\_\_\_

Forenames \_\_\_\_\_ National insurance number \_\_\_\_\_

Receiving scheme name \_\_\_\_\_  
\_\_\_\_\_

## Registered financial adviser's details

Company Name \_\_\_\_\_ Your company's FCA number \_\_\_\_\_

Title \_\_\_\_\_ Surname \_\_\_\_\_

Forenames \_\_\_\_\_ Your FCA number (Registered Individual No.) \_\_\_\_\_

## Declaration by financial adviser:

I can confirm

- I have permission to carry out the regulated activity under article 53E of the FCA's Regulated Activities Order to provide advice on the transfer of safeguarded benefits.
- Advice has been given to the above named client on the transfer of their safeguarded benefits to a flexible benefits scheme.
- I have discussed with the above named client the possible merits and risks associated with opting for a transfer, compared with retaining a deferred benefit entitlement in USS.

Adviser signature: \_\_\_\_\_ Date: \_\_\_\_\_